

RESOLUTION REU/4244/2023, by which the regulatory bases for Beatriu de Pinós (BP) postdoctoral grants are approved.

Law 7/2001, of 31 May (DOGC no. 3407, of 12.6.2001), created the Agència de Gestió d'Ajuts Universitaris i de Recerca (Agency for the Management of University and Research Grants - hereinafter AGAUR in its Catalan initials), as an entity governed by public law and which act in accordance with private law, with its own legal identity, with the full capacity to act and with its own assets in order to fulfil its duties.

It is the Agency's responsibility to implement grant, loan and subsidy programmes, loans, subsidies as well as other activities to promote university studies, scientific and technical research and technological innovation in Catalonia.

The AGAUR is affiliated with the Department of Research and Universities, in accordance with the provisions set forth in the Decree 115/2022 of 14 June, on the restructuring of the Department of Research and Universities.

The provisions set forth in Section IX of the Legislative Decree 3/2002 of 24 December, which approved the revised text of the Public Finance Law of Catalonia, in relation to the legal system of grants and transfers from the Government of Catalonia; Law 38/2003 of 17 November, on General Grants and Royal Decree 887/2006 of 21 July, which approves the Regulations of the aforementioned Law 38/2003 of 17 November, are all applicable.

Order VEH/79/2020, of June 9, which amends Order ECO/172/2015, of June 3, on the forms of subsidy justification, is applicable

Article 11 of the Statutes of the AGAUR, approved by the Generalitat (Government of Catalonia), by virtue of Decree 168/2002, of 11 June, sets forth that it is the responsibility of the Board of Directors to approve the calls for grants and subsidies, as well as their resolution. These powers were delegated to the Chair of the Research Grant Executive Board (CEAR in its initials in Catalan) on 28 April 2022.

Therefore,

It is hereby resolved:

Article

To Approve the regulatory bases for Beatriu de Pinós (BP) postdoctoral grants, the conditions of which are set forth in the annex to this Resolution.

Derogatory Provision

Resolution REU/3989/2022, of 19 December, which approves the regulatory bases for the Beatriu de Pinós grants for the incorporation of postdoctoral research staff in the science and technology system of Catalonia (BP), open through Resolution REU/284/2023, of January 27, which will, however, maintain its effects until the processing of the grants granted in the framework of the corresponding call has been completed.





Final provision

Against this Resolution, which exhausts the administrative avenue, the following appeals can be brought: an optional appeal for replacement before the president of the Executive Commission for Research Grants (CEAR) within one month from the day after the publication of the Resolution in the Official Journal of the Government of Catalonia (DOGC), in accordance with the provisions of articles 123 and 124 of Law 39/2015, of October 1, on the common administrative procedure of public administrations; or directly a contentious-administrative appeal before the contentious-administrative courts of Barcelona, within two months, counting from the day after its publication in the DOGC, in accordance with the provisions of articles 8, 14 and 46 of the Law 29/1998, of July 13, regulating contentious-administrative jurisdiction.

Barcelona,

p. p. (Resolution REU/1421/2022, of 10 May, DOGC No. 8668, of 16.5.2022)

Joan Gómez Pallarès
President of the Research Grants Executive Committee

APPENDIX

I. Preamble

In accordance with the mission and ambition of the Law of science, the Beatriu de Pinós postdoctoral grants are integrated into the Strategic Grants Plan of the Department of Research and Universities of the Government of Catalonia for the period 2022-2025, with the objective of promoting research, innovation and the transfer of knowledge to place us at the level of the most advanced countries and among the best in Europe, promoting the structuring of the country through research.

In accordance with the Catalan Agreement on the Knowledge Society (PN@SC), the Government of Catalonia proposes to strengthen the research and development system based on excellence in order to attract research talent and to facilitate the incorporation of qualified personnel in the Catalan system of science and technology. Accordingly, the Beatriu de Pinós grants not only contribute directly to the implementation of the European research area by aligning with Marie Skłodowska-Curie d'Horitzó Europa actions, encouraging international mobility, intersectorality, multidisciplinarity and attraction of research personnel with the potential to stand out as leaders in their research field, giving them the opportunity to continue developing their professional careers, but also bring this potential to the groups of research institutions in Catalonia to strengthen the critical mass of the country in research in all scientific fields.

Finally, and in order to promote the recommendations and good practices of the European Commission regarding the recruitment of research personnel, the Beatriu de





Pinós Program wants to encourage the institutions that receive the aid to take due account of the principles and general requirement provisions of the European Charter for Researchers and the Code of Conduct for the Recruitment of Researchers (C&C), ensuring the recognition and professional development of research staff that are recruited, and providing them with adequate supervision, access to training and an environment optimal to achieve their goals and those of their project.

II. Regulatory bases

-1 Object

The purpose of these rules is to regulate the Beatriu de Pinós postdoctoral grants in a competitive competition regime for the recruitment and incorporation of postdoctoral research staff in the science and technology system of Catalonia.

—2 Beneficiary entities

The universities of the Catalan university system, research centres, hospital foundations and the Unique Scientific and Technical Infrastructures (ICTS) can benefit from these grants. All beneficiary entities must have an operational headquarters in Catalonia.

Science and technology parks, technology centres, technology activity support centres and technology dissemination centres are excluded from these bases.

—3 Requirements of the beneficiary entities

To obtain beneficiary status, entities must meet the following requirements and conditions:

- a) To have the administrative, financial and operational capacity to fulfil all the obligations assumed by accepting the aid regulated by these bases.
- b) To have duly justified the scholarships and grants previously granted at the expense of the budgets of the Government of Catalonia.
- c) To be aware of the tax obligations to the State and the Government of Catalonia, as well as any Social Security obligations.
- d) To not be in any of the circumstances set forth in article 13 of Law 38/2003, of November 17, general subsidies.
- e) To comply with the regulations regarding intellectual property.
- f) To submit a statement on whether other public or private grants have been requested or obtained for the same activity and state the detailed relationship with the granting entity and the amount requested or obtained.
- g) To record, at least in Catalan, the signs and information of a fixed nature and which contain the text that must appear inside the employment centres addressed to the people who work there, in accordance with Law 1/1998, of January 7, on language policy.
- h) To comply with the reserve quota for the social integration of disabled personnel established by current legislation, if applicable.
- i) In the event that the institution has a workforce equal to or greater than 25 people, indicate, in agreement with the social agents, the means they use to prevent and detect cases of sexual harassment and harassment based on sex and to intervene in their workplaces, in accordance with Law 5/2008, of April 24, on the right of women to eradicate gender-based violence.
- j) To register economic activity on all official sites, wherever required.
- k) To be registered in the corresponding register.





- I) In the case of foundations and associations, to have adapted their Statutes to Law 5/2011, of July 19, amending Law 4/2008, of April 24, of the third book of the Civil Code of Catalonia, relating to legal entities.
- m) In the case of foundations, to have presented their annual accounts to the Protectorate.
- n) To comply with the purpose of the subsidy by carrying out the subsidised activity within the period determined by these rules.
- o) In accordance with the provisions of Law 17/2015, of July 21, on the effective equality of women and men, the beneficiaries of the subsidies must submit a declaration of responsibility stating that they have never been subject to an administrative sanction or judicial sentence for having carried out or tolerated employment practices considered discriminatory on the basis of sex or gender.
- p) In the event that the organisation has a staff of more than 50 people, it will be necessary to have an equality plan in place, in accordance with Organic Law 3/2007, of March 22, for the effective equality of women and men.
- q) The activities carried out within the framework of the aid will be in accordance with the European Code of Conduct for integrity in research and research activities expressly excluded in point 16.3 of the regulatory bases will not be carried out.
- r) The beneficiaries of public aid must adopt ethically exemplary conduct, refrain from carrying out, encouraging, proposing or promoting any kind of corrupt practice and bring to the attention of the competent bodies any manifestation of these practices which, in their opinion, is present or can affect the procedure. In particular, they will refrain from any action that may violate the principles of equal opportunities and free competition. In general, they assume the following obligations:
- To observe the principles, rules and ethical canons specific to the activities, trades and/or professions corresponding to the activity subject to subsidy or public aid.
- Not to carry out actions that put the public interest at risk.
- To report irregular situations that may arise in calls for subsidies or aid or in the processes derived from these calls.
- To immediately notify the AGAUR of possible situations of conflict of interest.
- To not request, directly or indirectly, that a position or public employee influence the awarding of the grant.
- To not offer or facilitate personal or material advantages to public officials or employees, neither for themselves nor for third parties with the intention of influencing the grant awarding procedure.
- To collaborate with the AGAUR in the actions it carries out for the monitoring and/or evaluation of compliance with the obligations established in the regulatory bases and in the call, particularly facilitating the information that is requested of them for these purposes related to the collection of public funds.
- To comply with the obligations to provide information that the transparency legislation imposes on the awardees, without prejudice to the fulfilment of the transparency obligations that correspond to them directly by legal provision, in the cases established in the fourth paragraph of article 3 of the Transparency Law.

In the event of non-compliance with the ethical principles and rules of conduct, the sanctioning regime provided for in Law 19/2014, of December 29, and the sanctions provided for in article 84 regarding public aid beneficiaries will apply, without prejudice to those other possible consequences provided for in current legislation on subsidies.

Compliance with these requirements must be proven by signing the declaration or certificate of responsibility that includes the confirmation of the requests presented by the entity's legal representative, in accordance with regulation 10.1 of this resolution.

The presentation of the declaration or certificate of responsibility as part of an administrative procedure entitles the competent body to verify the conformity of the





data it contains or is declared in it, in accordance with article 35.3 of Law 26/2010, of August 3, of the legal regime and procedure of the public administrations of Catalonia.

-4 Candidates

- 4.1 Candidates of any nationality must meet the following requirements:
- a) To have obtained their doctorate between the dates provided in the corresponding call (the date of obtaining the doctorate is understood to be the date of reading and approval of the thesis).

The period for obtaining the doctoral degree can be extended (see the corresponding call) if the candidate justifies any of these facts during this period:

- Having enjoyed a period of maternity or paternity leave.
- Having looked after children under the age of 6.
- Having taken care of people with a legally recognised physical, mental or sensory disability.
- Having suffered a serious illness or an accident that requires a major surgical intervention or treatment in a hospital and that causes the temporary incapacity for employment or the person's usual activity for a continuous period of at least 6 months. Minor surgery and out-patient surgery treatments and rehabilitation treatments carried out outside the hospital system are excluded.
- Having recognised refugee status according to the Geneva Convention of 1951.
- Having suffered any of the situations provided for in adicle 1 of Organic Law 1/2004, of December 28, on comprehensive protection measures against gender violence.
- Having a degree of disability equal to or greater than 33% issued by the assessment and guidance team of the attention centres for people with functional diversity of the Generalitat of Catalonia or equivalent.
- b) Having, at the time of joining the host institution, a minimum of two (2) years of postdoctoral experience outside Spain. This postdoctoral experience, regardless of the type of affiliation, may have been carried out in different institutions, as long as the total period of postdoctoral stay is at least two (2) years.
- c) Comply with the following mobility rule: not have resided in Spain for more than 12 months in the last three (3) years prior to the end date of the application submission deadline. The mobility rule is also not met if you have worked in Spain for more than 12 months in the last three (3) years prior to the end date of the application submission deadline. In the case of organisations that, through an agreement applicable to the Spanish State, are considered extraterritorial for these purposes, not having worked at the institution for more than 12 months in the last three (3) years prior to the end date of the application submission deadline. In the case of refugee research staff, the mobility rule applies from the date of obtaining official recognition as a refugee according to the Geneva Convention of 1951.
- 4.2 One (1) of the grants is reserved for candidates with a degree of disability equal to or greater than 33% issued by the assessment and guidance team of the attention centres for people with functional diversity of the Government of Catalonia or equivalent. In the event that no person opts for this aid, it will be reassigned by seeking a balanced distribution by area based on the applications submitted.

People who opt for this position must state this and provide the supporting documentation when AGAUR requires it. The non-accreditation of their degree of disability will mean that their application will be treated as ordinary. People who opt for this position must meet the requirements set out in section 4.1 of these regulatory bases.

4.3 Candidates who are enjoying a Beatriu de Pinós grant cannot apply in a new call for these grants.





—5 Persons responsible for the request

The persons responsible for the application must meet the following requirements by the end date of the application submission deadline:

Have a statutory, employment or affiliation agreement with the aid beneficiary entity. This link must guarantee the supervision of the candidate during the three years of the grant.

For the purpose of these regulatory bases, part-time staff, staff on leave, visiting professors and emeritus professors are considered not to meet this requirement.

—6 Execution period

- 6.1 The grants granted have a duration of three (3) years.
- 6.2 The beneficiary entities of the aid must incorporate the candidate and formalise the contract in accordance with the relevant regulations in force within the period between the date of publication of the award decision on the Electronic Board of the Administration of the Government of Catalonia (https://seu.gencat.cat/ca/informacio-publica.html) and the date provided for in the corresponding call. Only in exceptional and duly justified cases, the president of the CEAR can authorise the postponement of the hiring date, as long as it is requested before the end of this period.
- 6.3 The fact of not having signed the corresponding contract within the period between the date of publication of the concession resolution on the Electronic Board of the Administration of the Government of Catalonia (https://seu.gencat.cat/ca/informacio-publica.html) and the last date of incorporation is understood as a tacit waiver of the aid granted, with the exception provided for in the previous section, and without the need for a prior request.

—7 Suspensions

7.1 In the event of a suspension of the calculation of the contract due to situations of temporary incapacity and the periods of time dedicated to the enjoyment of full-time leave due to pregnancy, risk during pregnancy and lactation, birth, maternity, paternity, adoption, guardianship for the purposes of adoption or foster care, or breastfeeding accumulated to full days, or for similar situations related to the previous ones, as well as the enjoyment of full-time leave for reasons of reconciliation or care of minors, family members or dependents, and the time spent enjoying leave to care for a child or family member during the contract period as well as the situation provided for in article 45.1. n) of the revised text of the Workers' Statute Law as a measure to protect women who are victims of gender-based violence, the beneficiary entities can request the recovery of the suspension period.

The periods of time dedicated to the enjoyment of part-time leave due to birth, maternity, paternity, foster care for the purposes of adoption or family fostering, and the reduction of working hours due to breastfeeding, the birth of a premature child or hospitalisation after childbirth, legal custody, care of minors affected by cancer or serious illness, family members affected by accident or serious illness or dependents, or due to gender violence, or reductions in working hours due to similar situations related to the above as well as reasons of reconciliation or care of minors, relatives or dependents, during the term of the contract subject to the aid, will involve the extension of the contract for the time equivalent to the working day that has been reduced.

The request to recover the period of suspension must be accompanied by the corresponding supporting documentation, the extension of the contract and, where applicable, the accreditation of the corresponding sick notes and start and end dates. Beneficiary entities must send this documentation to the AGAUR before the aid ends.

7.2 The suspension of the duration of the contract and its recovery must be authorised by the body that is competent to issue the decisions granting the aid or of the Executive





Management of the AGAUR. The authorisation resolution sets the duration of the recovery.

-8 Amount of aid

- 8.1 The amount of the grant for the recruitment of research staff is that provided for in the corresponding call. This amount finances the cost of each contract during the three (3) years of the grant and includes the remuneration to be received by the research staff and the employer's Social Security contribution. Grants are subject to the tax regime in force at the time they are granted. The hired researcher must receive the minimum gross salary established in the corresponding call.
- 8.2 Additionally, the aid also has an amount for compensation costs for termination of the contract due to expiration of the agreed time, with an amount equivalent to that provided for fixed-term contracts in article 49 of the revised text of the Law of the Workers' Statute with a maximum for aid provided for in the call.
- 8.3 The entity receiving the aid must assume any increase in contractual costs resulting from any incident that may occur.
- 8.4 The beneficiary entity of the aid can increase the salary of the contracted research staff and take charge, in addition, of the employer's share of the corresponding Social Security.
- 8.5 Additionally, the aid also has a complementary amount provided for in the call. This aid is exclusively aimed at the actions implemented by the candidate during the period of enjoyment of the contract that is the subject of the aid and which must be managed by the beneficiary entity itself. This supplementary aid may include the following concepts:
- a) Training and training activities, such as the carrying out training courses related to both the scientific field and professional training (aspects of management, organisation, entrepreneurship, dissemination and scientific communication, transversal skills, etc.).
- b) Outstanding research actions, such as attendance and participation in conferences and congresses, carrying out field work or short-term research stays abroad.
- c) Expenses directly related to the research activities carried out by the candidate, such as attendance at international meetings and gatherings, the financing of subscriptions to scientific associations and journals, the purchase of bibliographic material or consumable office material and laboratory, specialised scientific and technical services that cannot be carried out within the host institution itself or the acquisition of specific software.
- d) It can also include expenses related to the publication and deposit of results and data, including the technical services of review, translation and adaptation of these.
- e) Expenses associated with intellectual property rights.
- f) A computer device for the candidate's personal use.
- The expenses derived from the purchase of office equipment and scientific and technical equipment, including computers of all kinds except as specified in point f) of this base and the technical services of installation, maintenance or repair of office equipment and scientific-technical or IT equipment, must be assumed by the receiving entity and cannot constitute eligible costs of this complementary aid.
- 8.6 The grant may be reduced in whole or in part before the final award decision is issued as a result of restrictions arising from compliance with the objectives of budgetary stability and financial sustainability.

—9 Eligible expenses

9.1 All expenses that are established as such by these regulatory bases and that, in addition, meet the following requirements are considered eligible expenses:





- That the expenses respond unequivocally to the nature of the subsidised activity, are strictly necessary and are carried out within the term and conditions determined by these regulatory bases. Under no circumstances may the cost of subsidised goods or services exceed their market value.
- That the expenses are committed during the execution period of the subsidised activity and are actually paid before the end of the justification period.
- That expenses are identifiable, controllable and subject to market prices and the principles of advertising, competition and transparency contained in the applicable regulations.
- That the expenses are verifiable. For these purposes, the beneficiary entities must keep evidence of the correct execution of the actions.
- 9.2 Under no circumstances are indirect taxes, personal income taxes, interest owed on bank accounts and other financial expenses, interest, surcharges and administrative and criminal penalties, taxes and the costs of judicial proceedings considered eligible expenses. Contributions in kind will also not be eligible, especially those corresponding to internal expenses for the use of internal services, and which are justified by an invoice or equivalent document and which do not involve an effective outflow of funds.
- 9.3 No recruitment expenses for periods where the researcher has not carried out effective work are subject to funding.
- 9.4 When the amount of subsidised expenditure exceeds the amount established in Law 9/2017, of November 8, on public sector contracts for the minor contract, the beneficiary must request at least three offers from different suppliers, prior to contracting the commitment for the work, the provision of the service or the delivery of the good, unless, due to its special characteristics, there is not a sufficient number of entities in the market that perform, lend or supply them. The choice between the offers, which must be provided in the justification or, where applicable, in the grant application, must be made in accordance with criteria of efficiency and economy. In addition, the choice must be expressly justified in a report when it does not coincide with the most advantageous economic proposal. The three offers must come from companies that are not part of the same group of companies, in accordance with the assumptions established by article 42 of the commercial code.
- 9.5 The regulation of cash payments is contained in Law 11/2021, of July 9, so the beneficiary entities cannot pay in cash for operations in which one of the contracting parties is an entrepreneur or professional with an amount equal to or greater than 1,000.00 (one thousand) euros or its equivalent in foreign currency.

—10 Requests and documentation

10.1 Requests must be submitted electronically in two phases and must be accompanied by the documentation provided for in these rules:

a) First phase (provisional application of the candidate):

The provisional application must be presented by the candidate or the researcher of the beneficiary research group who must coordinate the candidate's research activity electronically via the "Procedures" section of the Government of Catalonia's website (http://web.gencat.cat/ca/tramits/) following the instructions indicated.

Within the framework of these rules, a candidate and a supervising researcher can only appear as such in a single application for each call.

In the event of interruption due to accidental circumstances of the operation of the electronic site, and whenever it is technically possible, the user who accesses it will





see a message in which this circumstance is communicated, which will indicate, as an alternative, the place where they can register the documentation in person, and will report the effects of this interruption of operation in the calculation of deadlines. However, when it is not technically possible for the user to access the aforementioned message and it is a matter of procedures that must be carried out by telematic means, if an interruption occurs during the last day established for the completion of the corresponding procedure, this can be carried out during the following three working days.

Applicants can submit digitised copies of documents in accordance with the provisions of article 28 of Law 39/2015, of October 1, on the common administrative procedure of public administrations.

The standard application form consists of a general form and an annex document that must be attached to the form in accordance with the instructions of the standard form. The information to be specified in the attached form is a short curriculum vitae of the candidate; the description of the most prominent elements of the research group, of the the research person in charge of the grant and of the entity to which the candidate is incorporated; a brief description of the scientific activity that is to be carried out, including the work plan of the scientific and educational activity that will be carried out; the aspects of impact, communication and dissemination; and the ethical aspects of the planned research project. This information is divided into the following sections curriculum, host institution, project and research and training activities, impact and ethical aspects. The ethical aspects section of the annex includes an ethical self-assessment table that must be completed by all applicants and entities and that is not subject to evaluation.

It is essential to present the specific form with the standardised annex in order to proceed to the evaluation phase.

The use of the specific form and of the standardised annex is mandatory and, therefore, failure to comply with this requirement will result in the application being deemed not submitted, in accordance with article 66.6 of Law 39/2015, of October 1.

Projects will preferably be presented in English for evaluation.

Since this is a competitive procedure, no improvements to the application will be accepted after the application deadline. Therefore, the evaluation of the requests will be made exclusively in relation to the information provided in the specific form and the corresponding standardised annex. If the standardised attached document is blank or illegible, the assessment will be made solely on the basis of the information provided during the initial submission period.

b) Second phase (to be carried out by the entity receiving the aid):

To access the second phase, it is essential that the applicant entities have previously signed the agreement for the processing of personal data in the framework of the calls managed by the AGAUR and for access to its application of aid management (BOGA), according to the procedure that can be found on the AGAUR website (http://agaur.gencat.cat/ca/lagaur/procediment-de-presentacio-de-persones-juridiques/).

Once the deadline for submitting provisional applications has passed, a deadline will be opened for entities applying for the grant to access the AGAUR grant management application (BOGA) to confirm or reject the presentation of the applications submitted





by the candidates. To confirm the provisional application, the legal representative of the entity must sign the confirmation document generated by BOGA and present it to AGAUR through the EACAT platform, or another valid official electronic record, within the deadline established in the corresponding call, the following documents:

- The confirmation document for submitted applications generated by BOGA.
- The statement certifying compliance with the requirements established by regulation 3 of these bases, and also those derived from the same presentation. In this sense, it is necessary to take into account what is indicated in article 28.7 of Law 39/2015, of October 1, which establishes that the interested parties are responsible for the veracity of the documents they present.

To continue with the procedure, it is essential that the application and the duly signed supporting statement be submitted to the AGAUR electronic register within the deadline provided for in the call.

10.2 The AGAUR may require, at any time during the processing of applications, the documentation it deems necessary to prove that the requirements provided for in the regulatory bases and in the call are met. Failure to provide the required documentation within the legally stipulated period may lead to the denial of the subsidy.

10.3 In general, actions that require notification to applicants are made public, on the Administration's electronic Government of Catalonia (https://seu.gencat.cat/ca/informacio-publica.html) and, for information, on the AGAUR website. Especially in the event that it is necessary to amend the request, the interested person must be notified through the publication on the Electronic Board of the Administration of the Government of Catalonia, of a diligence of the director or executive director relating to the basic documentation and the non-basic documentation that needs to be amended. The diligence must indicate the reasons and it must be stated that, in the event that the request is not amended, with regard to the basic documentation, within ten days from the following day of the publication of the corresponding diligence on the electronic board of the Administration of the Government of Catalonia, it is understood that the applicants withdraw their application, in accordance with the provisions of article 68 of the Law 39/2015 of October 1, on common administrative procedure of public administrations.

10.4 The submission of the application implies that these bases are fully accepted and the AGAUR is authorised to obtain the certificates or verify the data necessary for the processing of the aid, which must be issued by other administrations or entities public bodies, research centres, universities and, in particular, the State Tax Administration Agency (AEAT) or any other administration, with the aim of satisfactorily resolving the corresponding call. In the event of technical problems preventing or hindering the transfer of data, the documents may be requested from the relevant entity.

-11 Personal data

The data provided by the applicants will be incorporated into the processing system owned by the AGAUR with the aim of managing and resolving the granting of aid in accordance with what is set out in these regulatory bases, and will be treated in a lawful, loyal, transparent, adequate, relevant, limited, accurate and updated manner, in compliance with the provisions of Regulation (EU) 2016/679 of the European Parliament and Organic Law 3/2018, of December 5 (LOPDGDD). The legal basis for





the processing of the data is the fulfillment of a legal obligation by the person in charge reinforced with the consent of the interested party.

These data must be kept for the period strictly necessary to fulfill the aforementioned purpose, respecting in any case what is determined by these regulatory bases of the call and the applicable storage regulations. The AGAUR certifies that it has implemented the technical and organisational measures contained in Regulation (EU) 2016/679, to guarantee the security and integrity of the personal data included in the files and to avoid their alteration, loss and unauthorised processing or access.

The AGAUR must communicate the applicant's data to the Department of Research and Universities so that it can exercise its power to control the management of the AGAUR as an entity attached to this Department. Certain data may also be communicated to third parties in the public or private sector, either because the intervention of these entities during the aid management process may be necessary for it to be resolved correctly, or because it is a compliance requirement of the law.

As long as the interested party does not communicate otherwise, it will be understood that their data have not been modified and that they undertake to notify the AGAUR of any variation.

-12 Application submission deadline

The deadline for submission of applications will be that provided for in the corresponding call.

—13 Body of instruction and body of resolution

The investigating body for the files is the executive director of the AGAUR. The grant decision corresponds to the Board of Directors of the AGAUR and, by delegation, to the CEAR or the person who holds the presidency, according to the agreement of the Board of Directors of April 28, 2022 (Resolution REU/ 14212022, dated 10 May, DOGC No. 8668, dated 16.5.2022).

—14 Grant decision procedure

The procedure for granting these subsidies is that of competitive tender, since the granting of subsidies is done through the comparison of the applications submitted, to establish a priority between them in accordance with the assessment criteria set in these regulatory bases and the call, and to award, within the limit of the available credit set in the call, those who have obtained a higher assessment in application of those criteria.





For the evaluation, only the documentation provided in the application is taken into account and, therefore, the hearing procedure is dispensed with, in accordance with article 24.4 of Law 38/2003, of November 17, general subsidies.

—15 Evaluation and selection

15.1 Once the requirements of the applications have been technically validated, these will access the scientific evaluation process by external experts, in accordance with the AGAUR Evaluation Regulations and, taking into account the specific evaluation and selection criteria established in these regulatory bases.

The evaluation of applications is done in two (2) phases.

- 15.2 The first evaluation phase consists of the individual and online evaluation of each application by external persons and experts in their respective areas of expertise, taking into account the specific characteristics of their research field, and which generates a scientific and technical evaluation rating expressed on a scale from 0 to 10 with the following criteria and weightings:
- a) Curriculum vitae of the candidate (45%). The research experience and the scientific and technological activity developed, the quality and impact of the publications and the scientific production generated, the international mobility and the intersectoral experience in collaboration projects with companies and in transfer of knowledge to the private sector, are valued. The autonomy, initiative, independent thinking and demonstrated leadership ability of the candidate will also be valued.
- b) Host organisation (25%). The aspects that are valued are the scientific history and international impact of both the research person in charge of the grant and the receiving research group and their suitability in relation to the specific area of knowledge of the proposal, the experience of the researcher in charge of the aid, the receiving group and the host organisation in the incorporation and training of research staff and their capacity to implement and coordinate the planned research and training activities, as well as the capacity to insert the trained staff in the research system. The adequacy of the infrastructure and facilities of the receiving entity is also assessed.
- c) Project and research and training activities to be developed during the three years (10%). The novelty and originality of the proposed research activities, the clarity in the formulation of the problem and the identification of the objectives, the suitability of the methodological approach and the feasibility of the proposal and the work plan, including the research stays and the professional training activities of the candidate and the exchange of knowledge between the host group and the candidate and vice versa, and how the overall impact of the proposal will be on the candidate's future career options.
- d) Impact (20%). The strategic impact of the proposal, the measures to exploit and disseminate the results of the proposed project, as well as the quality of the proposed communication actions are assessed according to the following sections:
- d.1) Potential and strategic impact in the field of research and in the development of new products and processes, of interest to society, obtained from the project and the research activities set out in the proposal (10%).
- d.2) Diffusion and communication activities (10%). Dissemination activities to members of the scientific community and communication activities planned within the framework of the project are valued, defined as awareness and transmission initiatives aimed at the general and non-specialist public and that generate public knowledge of the value of science in benefit of society.

As a result of this assessment, an overall score is generated which is expressed on a scale from 0 to 10 containing two decimal places.

This score is used to obtain a first classification of the proposals, which will be revised later.





15.3 In the second evaluation phase, evaluation commissions are formed in the form of panels for each of the areas of knowledge, in accordance with the list of areas that can be consulted in the evaluation section of the website of the AGAUR.

These evaluation commissions are made up of external and expert people, with a recognised track record and from different scientific fields, who provide a transversal and multidisciplinary vision, following the mechanisms established by the AGAUR Evaluation Regulations. The panels review all the scores obtained in the first evaluation phase, taking into account the evaluation criteria and weightings described on this basis, the specificities of the different areas of knowledge that make up each of the areas and the assessment complementary interdisciplinary knowledge provided by the members of each panel. Based on this review, each panel agrees a final score for each application, which may confirm the provisional scores of the first phase or agree a new one on a justified basis.

In the event of a numerical tie at the end of the entire evaluation process, priority will be given to applications submitted by female researcher candidates.

15.4 The selection of the proposals will be made by a Selection Committee, which will take into account the current evaluation regulations of the AGAUR, which can be consulted on its website (<www.agaur.gencat.cat>), the assessment criteria, the balanced distribution by areas, the institutional interest and the budget availability. Taking into account the above criteria, the Evaluation Committee will set the minimum cut-off mark for each panel to access the grants.

15.5 The Selection Committee is designated and appointed by the president of the CEAR and the Director General of Research. It is chaired by this person or by the person to whom they delegate, and it is made up of a minimum of two members and a secretary, who must be the executive director of the AGAUR or their delegate who has a voice, but no vote.

The AGAUR must ensure gender parity in the Selection Committee.

15.6 The Selection Committee can propose a reserve list, duly prioritised, made up of those applications that have not been considered due to lack of sufficient budget, but that have achieved a sufficient technical quality to access the aid.

—16 Ethical principles

- 16.1 The actions financed under this programme will respect the ethical principles and the applicable law of the EU, of the Member States and international, including the Charter and the European Convention for the Protection of Human Rights and Fundamental Freedoms and its additional protocols.
- 16.2. Special attention will be paid to the principle of proportionality, the right to privacy, the right to the protection of personal data, the right to physical and mental integrity of the person, the right to non-discrimination, the need to guarantee the protection of the environment as well as high levels of protection of human health.
- 16.3. Funded activities will focus exclusively on civilian applications and the following research fields will not be funded: activities aimed at human cloning for reproductive purposes; activities aimed at modifying the genetic heritage of human beings that could make such changes heritable (except research related to the treatment of gonadal cancer, which may be funded); activities aimed at the creation of human embryos exclusively for research purposes or for the purpose of acquiring stem cells including through nuclear transfer of somatic cells that lead to the destruction of human embryos. Researchers must complete an ethical self-assessment included in the standardised application form in which they refer to and detail all ethical issues related to the objective, the execution and the possible impact of the activities subject to funding, as





well as a description of how the ethical aspects will be guaranteed if the research so requires.

- 16.4. All those activities that are carried out outside the EU must comply with European legislation and that applicable in Catalonia and in no case that of the destination country.
- 16.5. Beneficiary entities that participate in the programme must fill out a declaration of responsibility in which the activities will be in accordance with the European Code of Conduct for Research Integrity and that no research activities will be carried out expressly excluded in point 3 of this regulation.
- 16.6. The beneficiary entities must have the licensing and control measures necessary to carry out, with guarantees, the requested research and innovation activities. They must also have the authorisations of the corresponding Ethics Committees when the research and innovation activities present ethical aspects to be considered and/or have been indicated in the ethical self-assessment form of the standardised application form. This documentation will only need to be presented if the AGAUR expressly requests it during the grant execution process.
- 16.7. All grants proposed for funding that involve the use of embryonic stem cells, and human embryos, genetic editing with living beings, organoids, and applications of artificial intelligence that affect individual rights, will be subject to ethical review by the AGAUR or the body to which this action has been delegated. The AGAUR will guarantee the transparency of the ethical assessment procedures, without prejudice to the confidentiality of the content of said procedures.

 16.8. If applicable, the AGAUR may carry out checks and subject to evaluation the
- 16.8. If applicable, the AGAUR may carry out checks and subject to evaluation the ethical aspects of any project, activity or funded initiative. The checks of the ethical aspects can be carried out with the support of external experts in ethics.
- 16.9. Where applicable, funded activities must comply with the principle of not causing significant harm to any of the six environmental objectives of the EU Taxonomy Regulation.
- 16.10. Research and innovation activities that do not comply with ethics and integrity regulations and are therefore not acceptable from an ethical point of view will not be funded. The aid may also be revoked if, during the course of the project, systematic non-compliance with ethical aspects is verified.

—17 Processing and resolution

- 17.1 Prior to granting the aid, the examining body must decide on the inadmissibility or withdrawal of the application, which will be notified to the interested entity by means of publication on the Electronic Board of the Administration of the Government of Catalonia https://seu.gencat.cat/ca/informacio-publica.html.
- 17.2 Failure to comply with the non-amendable requirements or the application submission deadline established by these rules will result in the application not being accepted.
- Failure to submit any of the documents provided for in these bases or failure to amend the amendable requirements within ten working days and with the prior request will result in the withdrawal of the application.
- 17.3 When eligible applications exceed budget availability, the Selection Committee will determine the allocation of funds, in accordance with the evaluation and selection criteria established in base 15.
- 17.4 On consideration of the Minutes of the Selection Committee, the investigating body will formulate the final resolution proposal, which it will raise to the decision-making body.
- 17.5 The grant decision will include, as a minimum, the amount of the grant, the conditions that the beneficiary entity must meet, the deadline for execution and





justification of the subsidised actions, and the origin of the funds with which the aid is financed.

17.6 The grant decision will be notified to the interested persons through its publication on the Electronic Board of the Administration of the Government of Catalonia (https://seu.gencat.cat/ca/informacio-publica.html). The Grant Decision will indicate the resources that can be brought. The presentation in the appeal phase of that documentation that has been required in the diligence of amendments will not be accepted.

—18 Term of resolution

18.1 The maximum legal deadline for resolution is six months from the publication of the call in the DOGC. After this period has passed without an express resolution, no reply is understood to indicate that the request for a grant has been rejected.

18.2 In those periods in which the interested parties are required to submit amendments, additional documentation and other necessary elements, the passage of the maximum term to resolve and notify is suspended during the time that elapses between the notification of the request and its effective compliance by part of all recipient entities or during the period established in article 22.1.a) of Law 39/2015, of October 1, to fulfil the requirement.

The term can also be suspended if any of the other causes listed in the aforementioned article 22 occurs, a circumstance that must be notified to the interested parties in those cases where the law requires it.

—19 Acceptance of aid

19.1 The acceptance of the aid must be formalised using a standardised form signed by the legal representative of the beneficiary entity, in which they must undertake to comply with these regulatory bases and the corresponding call.

The acceptance form must be submitted to the AGAUR electronic register through the EACAT platform or by means of a procedure associated with an AGAUR file (attaching documentation and other requests), which can be found in the "Procedures" section of the website of the Government of Catalonia (http://web.gencat.cat/ca/tramits/), in the maximum time limit according to the corresponding call.

The standardised grant acceptance document can be obtained from the AGAUR website (http://agaur.gencat.cat).

- 19.2 In addition to the acceptance document, the following documentation must be provided:
- a) A copy of the DNI, passport or NIE of the candidate.
- b) A copy of the doctoral degree or the receipt of the application for the issuance of the doctoral degree of the candidate. If applicable, a document stating the date of reading the thesis (understood as the date of obtaining the doctorate, the date of reading and approval of the thesis).
- c) In applicable, the mandatory documentation of the candidate having enjoyed maternity leave, paternity leave or taking care of children under 6 years of age, or people with physical, mental or sensory disabilities, or older people over 65 years of age who are dependent on the candidate and who require special care, or have suffered a serious illness or an accident that requires major surgery or treatment in a hospital and that causes temporary incapacity to carry out their regular employment or usual activity for a minimum continuous period of 6 months.
- d) A certification by the research staff person who supervised or is supervising the postdoctoral stay or stays outside Spain of the activities carried out, indicating the dates on which these stays took place.





- e) Copy of the deed of incorporation of the entity to which the grant is linked and of its statutes.
- f) Copy of the identification document of the person who is the legal representative of the entity to which the grant is linked and a document certifying the powers of attorney.
- g) In accordance with article 15.2 of Law 19/2014, of December 29, on transparency, access to public information and good governance, if the amount of aid granted is greater than 10,000 euros, it is necessary to provide a statement of responsibility for the information relating to the remuneration of the management or administration bodies, for the purpose of making it public, in accordance with the corporate criteria that are adopted or, where appropriate, in accordance with the corresponding regulatory development. This document can be replaced by a statement of responsibility from the entity's legal representative stating that this information is published on the entity's website under the terms and conditions established by Law 19/2014, of December 29, and the rest of the applicable regulations.

In the event that some of these documents have already been submitted in previous AGAUR calls, it is not necessary to submit them as long as the applicant entity submits a statement specifying these documents and the date on which they were presented, and it should be noted that they are still valid. In accordance with section d) of article 53 of Law 39/2015, of 1 October, on the common administrative procedure of public administrations, it has been established that the Administration of the Government of Catalonia already has, in the case of the universities and research centres of the CERCA program, this information; consequently, these institutions do not have to present the documentation provided for in the sections e), f), and g) of these regulations.

19.3 Beneficiary status is obtained at the moment of accepting the aid. It is understood that entities that have not submitted the acceptance document and the rest of the documentation within the deadline and under the conditions provided for by these regulatory bases and the corresponding call, without the need for prior request, tacitly renounce the aid.

- —20 Rights and obligations of the parties
- 20.1 The hired research staff must comply with the following obligations:
- a) Join the organisation in the planned period and carry out the activities described in its work plan.
- b) Follow the internal regime of the entities where they carry out their activities and keep the activity carried out confidential, in accordance with the instructions and guidelines issued by the host entity.
- c) Be exclusively dedicated to the project listed in the application. Additionally, and temporarily, you can dedicate yourself to other related research or technological innovation projects that are of interest to the development of your project or your training.
- d) Provide all the information requested and submit to the inspection, verification and control actions that may be undertaken by the AGAUR and other competent bodies, in accordance with current regulations.
- e) Follow the fundamental ethical and intellectual property principles of the EU and Catalan legislation, as well as good research practices based on the fundamental principles of integrity in research and the ethical principles established in regulation 16.





- f) Follow the principles of the European Charter for research staff of the European Commission.
- g) Participate in the conferences and courses organised by the universities of the Catalan university system, research centres, hospital foundations and the General Directorate of Research, dedicated to the professional development of research staff in those aspects not strictly related to the scientific field, such as management, entrepreneurship, leadership, intellectual property, etc.
- 20.2 Beneficiaries of aid must comply with the following obligations:
- a) Extend the employment contract to full-time, in accordance with the employment and tax regulations in force corresponding to the candidate, at least for the period provided for in the corresponding call.
- b) Incorporating the research staff hired exclusively for the project listed in the application. Additionally, and temporarily, the research staff can be incorporated into other related scientific or technological innovation activities that are of interest to the development of their project or their training.
- c) In the case of non-EU research staff, facilitate all the legal procedures (obtaining a visa, residence permit, etc.) for their incorporation.
- d) Provide certification to the AGAUR to prove the incorporation of the contracted research staff within two months from the day after the incorporation becomes effective
- e) Provide the contracted research staff with the support they need and facilitate access to the information necessary to carry out the research, and also to the means or equipment that are necessary for the normal development of the activity, as well as guarantee him the rights and benefits that the staff of the centre of the same or similar category have. Publicise the hiring of the postdoctoral researcher through the usual communication channels (website, business cards, etc.), as long as this fact is a common practice of the entity.
- f) Follow the fundamental ethical and intellectual property principles of the EU and Catalan legislation, as well as ensure the monitoring of good research practices based on the fundamental principles of integrity in research.
- h) Follow the Code of Conduct for the recruitment of research staff of the European Commission.
- i) Align with the action plan of the European initiative *Human Resources Strategy for Researchers* (HRS4R) which applies the AGAUR promoting that research excellence is guaranteed, an attractive institutional environment and a framework of actions to support the professional development of research staff with: interdisciplinary research options; the creation of networks and international relations; the fulfilment of measures of the European HRS4R strategy of the institution; the guarantee of *open, transparent, and merit-based recruitment,* OTM-R); the promotion of responsible and innovative research (RRI); open access to publications and data management policies; the promotion of transferable skills through intercultural and transversal competences, such as leadership, research management and entrepreneurship; a training that allows access to industry and other employment sectors; good practices in mentoring and providing senior research staff (R4) with tools to train research staff in the early stages of training (R1 and R2).
- j) Provide the postdoctoral researcher with a series of training activities, both in terms of scientific and practical skills, such as teaching or tutoring activities, as part of their professional development to contribute significantly in their career.
- k) Periodically monitor the progress of the postdoctoral researcher and take corrective measures if deviations or delays are observed in their work plan. The postdoctoral researcher, together with whoever is designated by the entity, must establish a personalised professional development plan in order to help the researcher achieve





their goals and satisfy their interests and needs. This plan must be submitted to the AGAUR according to the provisions of rule 21. The monitoring of this plan must be carried out by the beneficiary entity.

- I) Provide all the information requested and submit to the inspection, verification and control actions that may be undertaken by the AGAUR and other competent bodies, in accordance with current regulations.
- m) Keep the original receipts and other documentation related to the grant for a minimum period of five years from the end date of the grant.
- n) Comply with the obligations to provide the information that the transparency legislation imposes on the beneficiaries in relation to the Administration or the reference administrations, without prejudice to the compliance with the transparency obligations that pertain directly to them by legal provision, in the assumptions established by the corresponding sections of article 3 of Law 19/2014, of December 29, on transparency, access to public information and good governance.
- —21 Accreditation of the hiring and payment of the aid
- 21.1 Payment of the aid will be made, without the need to present guarantees, by bank transfer to the account indicated by the beneficiary entity.
- 21.2 The payment of 50% of the aid for the recruitment of the candidate and the payment of 100% of the complementary aid must be processed at the time when the receiving entity certifies the incorporation of the hired research staff, within two months from the day after it becomes effective, by presenting the following documentation:
- a) A copy of the signed contract, which includes the gross annual remuneration, the duration and dates of the contract and the place of provision of the doctor's services
- b) The letter from the researcher of the receiving research group who must coordinate the research activity of the candidate stating the date of incorporation to their workplace and the candidate's personalised professional development plan (standard version).

The second payment is 30% of the aid for the recruitment of the candidate and must be processed at the time when the beneficiary entity of the aid present to the AGAUR the scientific and technical follow-up report, in accordance with the provisions of base 26.

The remaining payment of 20% of the grant for the recruitment of the candidate, and, where appropriate, of 100% of the end of work compensation will be made once the justification for the grant issued has been presented and reviewed, in accordance with the provisions of these regulatory bases.

21.3 In accordance with the provisions of article 24 of Law 5/2007, of July 4, on fiscal and financial measures, at the time of acceptance, and to make the payment of the aid, the AGAUR will check ex officio if the beneficiary entities are aware of their tax obligations and with the Social Security. In the event that this check is not possible, the AGAUR will require the beneficiary entities to provide positive certifications proving that they are aware of it.

—22 Renunciations and substitutions

The total or partial renunciation of this contract must be communicated to the Executive Management of the AGAUR in writing by the researcher of the receiving research group who oversees the candidate's research activity, with the signature of the person with the capacity of legal representation of the entity, within a maximum period of one month from the day after the day on which it occurred. In all cases, the supporting documentation for the period of use of the aid must be presented in accordance with the provisions of these rules.

In the event of a total or partial renunciation, or if the acceptance document is not delivered under the conditions and within the time provided for in these regulatory





bases and in the corresponding call, the body that is competent to issue aid resolutions or the executive director of the AGAUR can award aid to candidates from the reserve list. The resolution by which the substitutions are granted will be notified individually to the interested person or through its publication on the Government of Catalonia's Electronic Board, in accordance with the provisions of articles 40 and 41 of Law 39/2015, d October 1, of the common administrative procedure of the public administrations, as long as it is subsequent to the resolution granting the grants. Substitutions will not be accepted beyond one month after the day after the maximum date of incorporation provided for in the call.

-23 Changes and incidents

23.1 The beneficiary entity must carry out the subsidised activity in accordance with the project presented. However, when the circumstances of the case are such and with a valid motive, the Executive Management of the AGAUR may authorise, at the request of the beneficiary entity of the aid, any variation in the work plan or the proposed project, as well as resolving any incident that does not involve a change in relation to the candidate or a substantial change in the terms of the regulatory bases and the call and in the conditions and purpose of the aid.

23.2 Exceptionally, and subject to duly justified circumstances, the President of the CEAR may authorise, with the prior request of the interested person, the research person in charge and the legal representatives of the institutions involved, to change the host centre, as long as the requirements of the call are met and a positive scientific assessment report is obtained on the new proposal and the suitability of the change in relation to the project initially proposed according to the regulatory bases of the call.

—24 Research stays

24.1 The hired researchers may stay in other academic and research institutions related to the project being carried out outside the entity receiving the aid, as long as they have the approval of the responsible researcher and the beneficiary entity of the aid.

24.2 Contracted researchers may also spend time in non-academic organisations, including public administrations, non-governmental organisations and private companies, provided they contribute significantly to the impact of the grant. The hired researchers must have a supervisor at the institution where they are staying, to receive intersectoral guidance and thus ensure the continuity and execution of the project, in addition to the achievement of the objectives and obtaining the expected results.

24.3 Stays must be for a period not exceeding nine (9) months, either in a single period or divided into shorter periods.

-25 Teaching

25:1 If applicable, it is the power of the entity that hosts the contracted research staff, and at their request, to establish a programme of collaboration in teaching activities for training purposes up to the annual maximum provided for by article 22 of Law 17 /2022, of September 5, which must be included in employment contracts.

25.2 Collaboration in teaching activities, for the purposes of the curriculum, must be certified by the beneficiary entity.

—26 Follow-up

26.1 To assess the fulfilment of the objectives set out in the application, the entity receiving the aid must present, during the 18th month of the contract, a scientific and technical report.





26.2 Once the grant period has ended, the AGAUR may request information from the beneficiary entities and the candidates as a measure to monitor the impact of the programme on the candidate's research career.

—27 Term and form of justification

27.1 The beneficiary entities must justify the effective execution of the grants and demonstrate this within two months following the end of the grant, using the standardised models that can be obtained on the AGAUR website (http://www.gencat.cat/agaur).

The supporting documentation must be submitted to the AGAUR electronic AGAUR register through the EACAT platform or through the procedure associated with attaching an AGAUR file (contribution of documentation and other requests), which can be found in the "Procedures" section of the website of the Government of Catalonia (http://web.gencat.cat/ca/tramits/).

27.2 The documentation that must be attached is as follows:

- a) Scientific and technical report explaining the fulfilment of the objectives and the purpose of the aid, with an indication of the activities carried out and the results obtained, signed by the hired candidate and by the researcher of the receiving research group who has coordinated the 'research activity of the candidate. This report must follow the standardised model and must be delivered in electronic form. If applicable, it is necessary to fill in the ethical aspects section and provide the supporting documentation of the ethical aspects of the activities carried out. This report also includes a certificate from the researcher of the receiving research group who has coordinated the research activity of the candidate certifying the work or the research project developed and the dates on which it was carried out, with the signature of the person with legal representation capacity of the entity.
- b) A certification of the recruitment cost of the candidate during the aid period detailed monthly for the entire aid period (salary expenditure and employer's fee), issued with the signature of the person with legal representation capacity of the entity If required, the beneficiary entities must make available to the AGAUR the necessary documentation to be able to manage the incidents corresponding to the recruitment.
- c) A classified report of the expenses incurred with the complementary aid, signed by the legal representative, with identification of the concept, the creditor, the number of the invoice or document of equivalent probative value, the amount, the date of issue and, if applicable, the date of payment. In the event that the subsidy is granted in accordance with a budget, a settlement must be presented in which the deviations from the initial budget are indicated and, where appropriate, justified. This expense ratio must follow the standardised model.

If there is a partial renunciation that involves the justification of a contract period of less than 18 months, the maximum justifiable amount of the supplementary aid is that provided for in the corresponding call.

- d) If applicable, a detailed list of other income or subsidies that have financed the subsidised activity, with an indication of the amount and source. When the receipts for expenses are partially attributed to other subsidies, the exact amount or percentage attributed to each must be indicated, with identification of the bodies that granted them. In the event that the effective final cost of the subsidised activity is lower than the initially budgeted cost, the amount of the grant awarded will be reduced by the same proportion.
- 27.3 Extensions of the justification period for the presented project are not accepted without prior justification, which must be accepted, if applicable, by the executive director of the AGAUR. If requesting an extension, the request must be submitted before the end of the initial justification period.





27.4 Beneficiary entities must keep the supporting documents for the application of the funds received, including electronic documents, while they may be subject to verification and control actions.

-28 Incompatibilities

- 28.1 The incompatibilities considered by the employment contract and signed by the contracting institutions or entities, in accordance with current legislation, apply to the contracts that are the subject of the grant.
- 28.2 The aid regulated by this grant is incompatible with any other public or private aid granted for the same purpose.
- 28.3 The applicant entities must communicate the other grants or subsidies granted for the same project, both at the time of submitting the application and at any time when this situation occurs.
- 28.4 The grants regulated by this resolution are compatible with other complementary salary perceptions from the development of professional or research activities that are of interest and are directly associated with the training and research objectives of the grant, including the complements for tasks teachers or the complements for the execution of research tasks, as long as they do not affect the purpose of the aid. The grant is also compatible with grants for attendance at congresses, meetings or scientific conferences and with grants for short research stays and other training activities.

The authorisation of these compatibilities corresponds to the affiliation entity that hosts the hired researcher, which must officially notify the AGAUR at the time it occurs.

—29 Open access to scientific results

Beneficiary entities of aid and contracted applicants must make public, in an open repository, the scientific articles generated within the framework of the project financed by this grant, in order to guarantee access to third parties freely and free of charge. Open access to publications must be provided as soon as possible and no later than a maximum embargo period of 6 months from the official date of publication of the scientific article (12 months in the case of social sciences and humanities). Cases of longer embargoes or exclusion from open broadcasting must be communicated and justified properly and with sufficient advance notice to the AGAUR, which must give its approval.

The deposit in an open repository of the research data, codes and methodologies generated within the framework of the project and the management of the project data in accordance with the international FAIR principles (findable, accessible, interoperable and reusable). The open dissemination of project results may be excluded for reasons of security, privacy, protection of personal data or commercial or industrial exploitation.

-30 Advertising

- 30.1 During the entire period of validity of the project, the persons and the beneficiary entities must take the appropriate measures to maintain fluid communication with the public and the media in relation to the project and to highlight the financial support, as provided for the corresponding call.
- 30.2 In the publications and other results that may be produced thanks to this grant, mention must be made of the support of the Beatriu de Pinós postdoctoral grant programme of the Department of Research and Universities of the Government of Catalonia, and the reference number for the grant must be included.

In addition, the beneficiary entity must include on its website the corresponding logo contained in the Visual Identification Program (PIV), published on the website http://www.gencat.cat/piv/.





—31 Advertising of the grants awarded

31.1 In accordance with article 15 of the Agreement GOV/85/2016, of June 28, the subsidies will be made public for the general knowledge of the public by their display on the electronic board of the Administration of the Government of Catalonia (https://seu.gencat.cat/ca/informacio-publica.html >).

31.2 In accordance with article 15 of Law 19/2014, of December 29, on transparency, access to public information and good governance, subsidies must also be published on the Transparency Portal of the website of the AGAUR.

-32 Notifications

32.1 The decision on inadmissible and withdrawn applications and the final decision on the granting of aid are published on the Electronic Board of the Administration of the Government of Catalonia. This notification supersedes the individual notification and has the same effect. For increased diffusion, and for informational purposes, the result of the resolution can be consulted on the AGAUR website.

32.2 In accordance with the provisions of Law 39/2015, of October 1, on the common administrative procedure of public administrations, the rest of the administrative acts that are issued in relation to this procedure must be notified electronically. For these purposes, the address for notification purposes must be stated in the application form.

The notification is understood to be sent for all legal purposes at the time as soon as its contents are accessed.

When, with evidence of the notification being made available, ten calendar days pass without its content being accessed, it is understood that the notification has been rejected, unless ex officio or if the recipient indicates that they were unable to access it. 32.3 For the rest of AGAUR's communications that are not considered notifications, electronic means will be used and sent to the email address that the applicant has indicated in the application.

—33 Verification and control

33.1 The AGAUR is responsible for checking compliance with the regulatory bases approved by this Resolution and the rest of the regulations that apply to it. The AGAUR can review the subsidies already granted, in particular the possibility of changing the grant decision in the case of alteration of the conditions or the simultaneous obtaining of other grants.

33.2 The beneficiary entities must provide all the information that the Government of Catalonia, Sindicatura de Comptes (Audit Office) and other competent bodies require, in accordance with the regulations of the Government of Catalonia on subsidies.

33.3 In relation to responsible declarations, these entail that the person concerned has the relevant documentation attesting to the declared data. If the Administration verifies the inaccuracy or falsity of the declared data, this fact entails, after hearing the person concerned, to cancel the corresponding procedure. If this conduct qualifies as an infraction in the applicable legislation, it gives rise to the initiation of the appropriate disciplinary proceedings in accordance with the disciplinary regime referred to in the previous section.

-34 Verification of subsidised actions

The beneficiary entities and the recipients of the aid must submit to the administrative and on-the-ground verification actions carried out by the AGAUR, in accordance with its action plan for the verification of subsidies, and the actions of control carried out by the competent bodies of the regional, state and community administrations.





For this purpose, the awarding body can request the supporting documents that it considers appropriate and that allow obtaining reasonable evidence on the appropriate application of the subsidy, for which it will require the beneficiary to send the supporting documents of the selected expenditure.

The purpose of the administrative checks is to verify the execution of the actions.

The verifications on the ground must be carried out, if necessary, during the execution of the subsidised action, and have the purpose of verifying that the action is effectively carried out and that the obligations of the recipients and the beneficiary entities are met.

—35 Invalidity of the grant decision and refunding the amounts unduly received 35.1 Those established in article 36 of Law 38/2003, of November 17 general subsidies, are causes of invalidity of the concession resolution, which entail the obligation to return the amounts received.

35.2 The refund of the amounts received and the demand for interest on the corresponding delay will also apply from the moment of payment of the aid until the date on which the origin of the refund is agreed, in the cases established in the article 37 of Law 38/2003, of November 17, general subsidies and article 99 of Legislative Decree 3/2002, of December 24, which approves the revised text of the Public Finances Law of Catalonia.

-36 Renunciation

36.1 Any modification of the initial conditions for granting the aid receive prior authorisation by the corresponding body.

36.2 Total or partial non-compliance with the requirements and obligations established by these regulatory bases and the rest of the applicable regulations gives rise to the opening of a file for non-compliance, the resolution of which may cause the total or partial renunciation of the aid and the obligation to return the corresponding amount.

—37 Applicable regulations

In everything that is not provided for in these bases, the beneficiary entities of the aid are subject to the provisions on subsidies in Chapter IX of the revised text of the Public Finances Law of Catalonia, approved by Legislative Decree 3/2002, of December 24, as well as the basic regulations on subsidies and the rest of the applicable regulations.

