

## Consulta Pública - FP9 - Govern de Catalunya

*Catalonia has a successful participation in the European research and innovation programme (RI), Horizon 2020, gathering a 2.6% of EU funds. There are ongoing activities for the preparation of the next RI framework programme (provisionally named FP9), which will shape the future of European research and innovation 2021 -2027.*

*FP9 will be a unique opportunity for European research and innovation. The Government of Catalonia is working to identify the key subjects and themes that will be important in the next years, so that we can influence on its design, ensuring the priorities for the future of Catalonia and Europe are well represented.*

*We invite you to take part in this public consultation and to have a say on research and innovation activities run by the European Commission. The results of this consultation will feed into the FP9 positioning document of the Government of Catalonia, which will be available on November 2017.*

*The coordination of this report is handled by the Ministry of Enterprise and Knowledge of the Government of Catalonia and the Delegation of the Government of Catalonia to the European Union in Brussels.*

*Thank you in advance for participating in this open consultation!*

*Catalunya ha participat amb èxit en el programa de recerca i innovació europea (RdI), Horizon 2020, captant un 2,6% dels fons europeu. Actualment, hi han activitats per a la preparació del proper programa marc d'RdI (anomenat provisionalment FP9), que donarà forma al futur de la recerca i la innovació europea 2021-2027.*

*FP9 serà una oportunitat única per a la recerca i la innovació europea. La Generalitat de Catalunya treballa per identificar els temes clau que seran importants en els pròxims anys, de manera que puguem influir en la seva definició, assegurant que les prioritats més importants per al futur de Catalunya i Europa estiguin ben reflectides.*

*Us convidem a participar en aquesta consulta pública i a fer comentaris sobre les activitats de recerca i innovació que porta a terme la Comissió Europea. Els resultats d'aquesta consulta pública s'incorporaran al document de posicionament sobre FP9 del Govern de Catalunya, que es donaran a conèixer al novembre de 2017.*

*La coordinació d'aquest informe va a càrrec del Departament d'Empresa i Coneixement de la Generalitat de Catalunya i la Delegació del Govern de la Generalitat de Catalunya davant la Unió Europea a Brussel·les.*

*Gràcies per endavant per participar en aquesta consulta oberta!*

**Target group:** all stakeholders, citizens and organizations. The contributions of researchers, entrepreneurs, innovators or managers who work in research and/or innovation institutions are especially welcome.

**Deadline:** 21.09.2017



**How to submit my contribution:** answer the survey online (it will not take you more than 20 minutes). If you deem it appropriate, at the end of the online survey you will be able to load your positioning document. You can check relevant documents in the web section of complementary documentation. For any technical inquiry, please contact: [spei.agaur@gencat.cat](mailto:spei.agaur@gencat.cat)

*Note: You don't need to complete all the fields if you don't have any comment on the specific subject.*

## **Part I. About you**

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Part I consists questions about the respondent. We would like to know what type of respondents we have in order to better understand their perspective, expectations and needs in terms of research and innovation. It will also help us to tailor this survey to respondents' experience with Horizon 2020.

### **1. I am responding**

- As an individual
- On behalf of a single institution/company
- On behalf of an "umbrella" organisation

#### **1.1 What type of organisation do you represent?**

- Academia
- Research organisation
- Small and Medium Sized Enterprise
- Big Company
- Public authority
- Non-Governmental Organisation
- Other

Please specify

#### **1.2 You are a:**

- Researcher
- Director
- General director
- Policy maker
- Research/Innovation manager
- Transfer of knowledge manager
- Entrepreneur
- Other

Please specify

**1.3 You are based in Catalonia:**

- Yes
- No

If not, where are you from?

**1.4 In which part of Horizon 2020 have you participated/ are you participating? Choose the most relevant answers.**

	<b>YES</b>
European Research Council	
Future and Emerging Technologies	
Marie Skłodowska-Curie Actions	
European Research Infrastructures	
Leadership in Enabling and Industrial Technologies	
Access to Risk Finance	
Innovation in SMEs (including SME Instrument)	
Fast Track to Innovation pilot	
Health, demographic change and well-being	
Food security, sustainable agriculture and forestry, marine, maritime and inland water research, and the bioeconomy	
Secure, clean and efficient energy	
Smart, green and integrated transport	
Climate action, environment, resource efficiency and raw materials	
Europe in a changing world – Inclusive, innovative and reflective societies	
Secure societies – Protecting freedom and security of Europe and its citizens	
Spreading Excellence and Widening Participation	
Science with and for Society	
European Institute for Innovation and Technology	
EURATOM	
None	

**1.5. What are the main barriers for not participating in Horizon 2020? Select maximum 4.**

*between 1 and 3 answered rows*

	<b>YES</b>
I'm not a researcher/innovator	
Lack of awareness of the EU research and innovation framework programme Horizon 2020	
Preference to participate in other European or international programmes	
Preference to participate in other national or regional programmes	
Horizon 2020 project implementation rules are cumbersome	
Success rates in Horizon 2020 are too low to be worth applying	
Difficulties to find project partners	
Lack of a relevant area/topic for my needs	

Lack of an adequate type of financial support needed for my work	
Concerns about sharing valuable knowledge with partners	
Limited financial/human resources to prepare a proposal/to manage a project	
I tried, but my proposal wasn't selected	
Other	

## Part II. Your opinion on FP9.

In Part B, we would like to know your vision, priorities and forms of funding of the next framework programme of research and innovation. As the experience on Horizon 2020 will contribute to the drafting of FP9, some of the following questions arise from your participation in the current programme.

### 2.3. Do you think that

	Not at all	To some extent	To large extent	Fully	I don't know
Horizon 2020 address well basic research					
Horizon 2020 is stimulating disruptive and market-creating innovation (a new process, product or service that upsets existing business models and serves new set of customers)					
Horizon 2020 addresses the main citizens' needs in terms of research and innovation					
Horizon 2020 thematic coverage is flexible enough to cope with changing circumstances					

Please provide here any other comments (if any) on the relevance of Horizon 2020 priorities.

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### 2.4. Are the forms of funding provided through Horizon 2020 relevant to your needs?

	Not at all	To some extent	To large extent	Fully	I don't know
Grants for collaborative projects					
Grants for single beneficiary projects					
Financial instruments (e.g. loans, guarantees)					
Public procurement					
Prizes					
Co-fund (e.g. ERA-NET)					

Please provide here any other comments (if any) on the relevance of Horizon 2020 forms of funding.

*le: New simplification measures: lump sums, output based funding,..?;*

*What type of financing instruments of the current scenario do you find difficult to understand? ;*

*Which financing instrument should be fostered in the future programme (loans, grants, co-funding,..)?;*

What type of funding instruments do you suggest to give more support to market-oriented innovation?; ..

**2.5. Please rate the following Horizon 2020 implementation aspects for:**

**2.5.1. Work Programme and calls:**

	Very poor	Poor	Good	Very good	I don't know
Transparency in the process of formulating the Work Programme					
Clarity of the calls for proposals description					
Ease of finding the right call/right partners for my proposal					
Frequency of calls for proposals launch					
Inclusion of Social Sciences and Humanities in calls for proposals across Horizon 2020					
Balance between small and large indicative project sizes in the calls for proposals					
Communication activities on Horizon 2020 to attract applicants					
Frequency of use of 2-stage procedure in evaluating proposals					

**Do you think the next FP9 should be more focused on results and goals rather than process and administration?** (*ie: output based funding versus financial, technical and scientific forms*)

**What measures do you think should be implemented towards further programme's simplification?**

**What do you think about the current balance between big and small projects? Any of them should be fostered in the future?**

**2.5.2. Proposal evaluation in Horizon 2020:**

	Very poor	Poor	Good	Very good	I don't know
Time taken to evaluate proposals					
Quality and consistency of the feedback from the evaluation					

Time taken to sign a grant agreement and pre-financing reception					
Support provided by the EC services (including agencies) during grant preparation and implementation					
Acceptance of organisations' usual accounting practices					
Mechanisms for project monitoring and reporting					
Balance between control and trust of beneficiaries					

**Do you have any suggestion to improve evaluations in FP9?**

**2.6. Please provide here any further comments (if any) on synergy, complementarity and/or overlaps within Horizon 2020 and with other funding programmes (European Structural and Investment Funds; COSME; ERASMUS+; etc). How should it work in the future?**

**Part III. FORWARD LOOKING QUESTIONS**

The European Commission's goal is to maximize the socio-economic impact of the EU support to research and innovation, so we would like to hear from you what could be done to achieve this.

**3.1. Please choose up to 5 Sustainable Development Goals on which, in your opinion, the future EU framework programmes for research and innovation should focus**  
*Between 1 and 5 answered rows*

	My choice
End poverty in all its forms everywhere	
End hunger, achieve food security and improved nutrition and promote sustainable agriculture	
Ensure healthy lives and promote well-being for all at all ages	
Ensure inclusive and quality education for all and promote lifelong learning	
Achieve gender equality and empower all women and girls	
Ensure access to water and sanitation for all	
Ensure access to affordable, reliable, sustainable and modern energy for all	
Promote inclusive and sustainable economic growth, employment and decent work for all	
Build resilient infrastructure, promote sustainable industrialization and foster innovation	

Reduce inequality within and among countries	
Make cities inclusive, safe, resilient and sustainable	
Ensure sustainable consumption and production patterns	
Take urgent action to combat climate change and its impacts	
Conserve and sustainably use the oceans, seas and marine resources	
Sustainably manage forests, combat desertification, halt and reverse land degradation, halt biodiversity loss	
Promote just, peaceful and inclusive societies	
Revitalize the global partnership for sustainable development	

**In your opinion, what is the most important issue/problem/opportunity to be addressed by the EU framework programmes for research and innovation? Indicate one area/topic.**

**3.2. To what extent do you agree that the following issues are needed to further maximize the socio-economic impact of the EU framework programme for research and innovation?**

	I strongly disagree	I disagree	I agree	I strongly agree	I don't know
Increased budget for financing research and innovation in FP9					
In which programmes/initiative/areas more money is needed (prioritize)? (ie: excellence science; societal challenges; advanced technologies; ERC; MSC, SME instrument; Health; ICT; International cooperation; etc) <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div>					
More room for bottom up proposals					
What should be the balance between "top down" and "bottom up" funding in the overall programme and by specific programmes <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div>					
Better defined priorities for research and innovation activities					
What do you suggest to better combine research and innovation programs according to user's needs? <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div>					
Increased involvement of citizens in research and innovation and in priority setting					
What actions would you propose to mobilise and involve citizens?. To what extent should the citizens be involved in priority setting? <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div>					



Increased International cooperation					
What actions would you propose to foster International cooperation?					
Increased use of crosscutting calls (e.g. calls responding to more than one societal challenge)					
Better access to the programme for newcomers					
Increased focus on capacity-building activities for research and innovation					
Increased support to human resources (mobility and training of researchers)					
Foster the European Research Council					
Increased focus on supporting closer to market activities					
Increased focus on supporting demonstration					
Increased focus on fundamental research					
Increased dissemination activities for the results of funded projects					
Increased focus on support for the exploitation of research results					
Foster link of industrial solutions to societal challenges					

**3.3. What do you think about the creation a European Innovation Council (EIC)? If you have any idea about how the EIC should be please write it down. (ie: objectives, content, activities, ...)**

*For further information on the EIC: <https://ec.europa.eu/research/eic/index.cfm>*

**3.4. Any comments on the future of the SME instrument? (ie: available budget should be increased? Yes/No; offering commercialisation and coaching is of added value? Yes/No)**

**3.5. How the European Research Council (ERC) should be reinforced in the future? (ie: any comments on its grants, objectives, etc?)**

**3.6. Any comments on the future of the Marie Curie-Sklodowska Actions? (ie: any new action to foster mobility and transfer of knowledge among research staff and researchers? Any comment to improve the current actions?)**





**Part VI. ADDITIONAL INFORMATION**

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**4.1. Please add any comments you may wish, which has not been covered by previous parts of this questionnaire. Any further comments (if any) on issues you find important regarding a possible successor programme to Horizon 2020. What could be done differently in FP9?**

**Please upload a document (e.g. position paper) if you wish to share any further comments/recommendations regarding the future of the Common Strategic Framework for Research and Innovation, FP9 (optional)**

**Information about the respondent\* (non mandatory)**

*In case we need to contact the respondent to clarify any concept or idea. This information is optional.*

**First name**

**Last name**

**Email address**

**Organisation name (please reply N/A if responding as an individual citizen)**